

Applicant:

Tomoki TODO et al.

Title:

USE OF SOLUBLE COSTIMULATORY FACTOR FOR

TUMOR IMMUNO-GENE THERAPY

Appl. No.:

09/679,147

Filing Date:

10/5/2000

Examiner:

Anne Marie Sabrina Wehbe

Art Unit:

1632

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Stephen A. Bent

Attorney for Applicant

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Date May 13, 2004

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO	Complete if Known		
REFORMATION DISCLOSURE	Application Number	09/679,147	
SÄÄTEMENT BY APPLICANT	Filing Date	10/5/2000	
The Cubmitted May 12 2004	First Named Inventor	Tomoki TODO	
\$ /	Group Art Unit	1632	
(as many sheets as necessary)	Examiner Name	Anne Marie Sabrina Wehbe	
SHEADER 1 of 1	Attorney Docket Number	066683-0190	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cian	U.S. Patent Document		N	Date of Publication of	Pages, Columns, Lines, Where Relevant
	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Fore Office ³	eign Patent D Number ⁴	Occument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶			
	A1	FIELDS et al, B7.1 Is a Quantitatively Stronger Costimulus Than B7.2 in the Activation of Naïve CD8 [†] TCR-Transgenic T Cells, The American Association Immunologists, 1998, pp. 161: 5269-5275				
	A2	KWON et al., Elimination of Residual Metastatic Prostate Cancer After Surgery and Adjunctive Cytotoxic T Lymphocyte-associated Antigen 4 (CTLA-4) Blockade Immunotherapy, PNAS, December 21, 1999, pp. 15074-15079, Vol. 96, No. 26				
	A3	HENRY et al., Structure and Evolution of the Extended B7 Family, Review Immunology Todaym, June 1999, pp. 285-288, Vol. 20, No. 6				
	(mil)					

Examiner Signature	Date Considered	
	 - Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.